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PATENT.

Practitioner's Docket No. 51951 (23091/10 ACT-164)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APR 1 2 2004

In re application of: David W. Sherrer

Serial No.:

10/054,575

Filed:

For:

November 13, 200

OPTICAL CONNECTOR SYSTEM

2839 Group No.: Examiner: Javaid H. Nasri

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Date: April 9, 2004

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

II. COPIES

a. 🔀

Submitted herewith is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.

III. **CONCISE EXPLANATION OF THE RELEVANCE**

(check at least one box)

Serial 1 Page 2		/054,57	5				
	a. 🔀 b. 🗀		Except as may be indicated below in (b), all of the patents, publications or other information are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).				
			A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:				
	с. 🗌		The following additional information is provided for the Examiner's consideration				
			<u>FEES</u>				
IV.	THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b) (check one box)						
	a.		within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1)). No fee or certification is required.				
	b.		within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b) (2)). No fee or certification is required.				
	c.		before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e)below, or, if no certification has been made, charge our deposit account a fee in the amount of \$240.00 as required by 37 C.F.R. § 1.17(p).				
V.	BEING FILED UNDER 37 C.F.R. § 1.97(c): x)						
	before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c) (1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).						
	tification; therefore, a fee in the amount of \$ is required by 37 C.F.R § 1.17(p).						

In re application of: David W. Sherrer

in re a	pplication	on of: David W. Sherrer								
Serial Page 3										
	b. 🗌	See the certification below. No fee is required.								
VI.	CERTIFICATION UNDER 37 C.F.R. § 1.97(e) (check only one box)									
The un	dersign a. [ed hereby certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or								
	b. 🗌	no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.								
	c. 🗌	Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.								
		Please charge Deposit Account No. 04-1105 in the amount of \$ for the above-indicated fee. A triplicate copy of this paper is attached.								
\boxtimes		No fee is required.								
consid	igned. er this I	Examiner has any questions concerning this IDS, he/she is requested to contact the If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to DS under the proper rule (with a petition, if necessary) and charge the appropriate fee to int No. 04-1105.								
		Respectfully submitted,								
Date:	April 9,	2004								

Jonathan D. Baskin (Reg. No. 39,499) c/o EDWARDS & ANGELL, LLP P.O. Box 55874

Boston, MA 02205 Tel: (508) 787-4766



LIST OF ART CITED BY APPLICANT

(Use several sheets if necessary)

	Sileet i di i						
Atty Docket No.	Serial No.						
51951 (ACT-164)	10/054,575						
Applicant							
David W. SHERRER							
Filing Date	Group						
11/13/2001	2874						

U.S. Patent Documents								
EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE IF APPROPRIATE		
	AA	2003/0034438	02/20/2003	Sherrer et al.				
	AB							
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		OTHER ART (Inc	luding Autho	or, Title, Date, Pertinent I	Patents, etc.)			
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	AS							
Examiner			, 	Date Considered				

*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.